

AN ORDINANCE OF THE CITY OF APPLETON CITY, MISSOURI, TO ESTABLISH A PROCEDURE TO DISCLOSE POTENTIAL CONFLICTS OF INTEREST AND SUBSTANTIAL INTERESTS FOR CERTAIN MUNICIPAL OFFICIALS PURSUANT TO RSMO 105.485.

BE IT ENACTED BY THE BOARD OF ALDERMEN OF THE CITY OF APPLETON CITY, MISSOURI, AS FOLLOWS:

Section 1. Declaration of Policy. The proper operation of municipal government requires that public officials and employees be independent, impartial and responsible to the people; that government decisions and policy be made in the proper channels of the governmental structure; that public office not be used for personal gain: and that the public have confidence in the integrity of its government. In recognition of these goals, there is hereby established a procedure for disclosure by certain officials and employees of private financial or other interests in matters affecting the city.

Section 2. Conflicts of Interest. The Mayor or any member of the Board of Aldermen who has a substantial personal or private interest, as defined by state law, in any bill shall disclose on the records of the Board of Aldermen the nature of his/her interest and shall disqualify himself/herself from voting on any matters relating to this interest.

Section 3. Disclosure Reports. Each elected official, and the City Clerk shall disclose the following information by May 1 if any such transactions were engaged in during the previous calendar year:

- a. For such person, and all persons within the first degree of consanguinity or affinity of such person, the date and the identities of the parties to each transaction with a total value in excess of five hundred dollars, if any, that such person had with the political subdivision, other than compensation received as an employee or payment of any tax, fee or penalty due to the political subdivision, and other than transfers for no consideration to the political subdivision; and
- b. The date and the identities of the parties to each transaction known to the person with a total value in excess of five hundred dollars, if any, that any business entity had with the political subdivision, in which such person had a substantial interest, other than payment of any tax, fee or penalty due to the political subdivision or transactions involving payment for providing utility service to the political subdivision, and other transfers for no consideration to the political subdivision.
- c. In the event that the city's actual or budgeted revenues or expenses shall exceed one million dollars in any fiscal year (October 1 to September 30), the Mayor and the City Clerk also shall disclose by May 1 for the previous calendar year the following information:

1. The name and address of each of the employers of such person from whom income of one thousand dollars or more was received during the year covered by the statement:
2. The name and address of each sole proprietorship that he/she owned; the name, address and the general nature of the business conducted of each general partnership and joint venture in which he/she was a partner or participant; the name and address of each partner or co-participant for each partnership or joint venture unless such name and addresses are filed by the partnership or joint venture with the secretary of state; the name, address and general nature of the business conducted of any closely held corporation or limited partnership in which the person owned ten percent or more of any class of the outstanding stock or limited partnership unit; and the name of any publicly traded corporation or limited partnership that is listed on a regulated stock exchange or automated quotation system in which the person owned two percent or more of any class of outstanding stock limited partnership units or other equity interest;
3. The name and address of each corporation for which such person served in the capacity of a director, officer or receiver.

Section 4. Filing a Report. The reports, in the attached format, shall be filed with the city clerk and with the ethics commission. The report shall be available for public inspection and copying during normal business hours.

Section 5. When filed. The financial interest statements shall be filed at the following times, but no person is required to file more than one financial interest statement in any calendar year:

- a. Each person appointed to office shall file the statement within thirty days of such appointment or employment;
- b. Every other person required to file a financial statement shall file the statement annually not later than May 1 and the statement shall cover the calendar year ending the immediately preceding December 31; provided that any member of the Board of Aldermen may supplement the financial interest statement to report additional interests acquired after December 31 of the covered year until the date of filing of the financial interest statement.

Section 6. Filing of Ordinance. The City Clerk shall send a certified copy of this ordinance to the Missouri Ethics Commission within ten days of this adoption.

Section 7. Any Ordinance or parts of Ordinances in conflict herewith are hereby repealed and made null and void.

Section 8. If any section, sentence or provisions of this Ordinance, or the application thereof to any person or circumstances, shall be declared invalid, such invalidity shall not affect any of the other sections, sentences provisions or application of this Ordinance which can be given effect without the invalid provision or application, and to this end the provisions of this Ordinance are declared to be severable.

Section 9. This Ordinance shall be in force and effect as aforesaid from and after its passage and approval.

Read two times by title only and adopted by the Board of Aldermen of the City of Appleton City, Missouri, this 13th day of August, 2024.

Matthew Smith, Mayor

Attest: _____
Carrie Emerson, City Clerk