

AN ORDINANCE OF THE CITY OF NEOSHO ESTABLISHING PROCEDURES TO REPORT PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND CONFLICTS OF INTEREST FOR CERTAIN MUNICIPAL OFFICIALS

BE IT ORDAINED BY THE COUNCIL OF THE CITY OF NEOSHO, MISSOURI, AS FOLLOWS:

Section 1: Declaration of Policy. The proper operation of municipal government requires that public officials and employees be independent, impartial and responsible to the people; that government decisions and policy be made in the proper channels of the governmental structure; that public office not be used for personal gain; and that the public have confidence in the integrity of its government. In recognition of these goals, there is hereby established a procedure for disclosure by certain officials and employees of private financial or other interests in matters affecting the city.

Section 2: Conflicts of Interest. Any member of the city council who has a substantial personal or private interest, as defined by state law, in any bill shall disclose on the records of the city council the nature of his interest and shall disqualify himself from voting on any matters relating to this interest.

Section 3: Disclosure Reports. Each elected official, the chief administrative officer, the chief purchasing officer and the general counsel (if employed full-time) shall disclose the following information by May 1, if any such transactions were engaged in during the previous calendar year:

- a. For such person, and all persons within the first degree of consanguinity or affinity of such person, the date and the identities of the parties to each transaction with a total value in excess of five hundred dollars, if any, that such person had with the political subdivision, other than compensation received as an employee or payment of any tax, fee or penalty due to the political subdivision, and other than transfers for no consideration to the political subdivision; and
- b. The date and the identities of the parties to each transaction known to the person with a total value in excess of five hundred dollars, if any, that any business entity, in which such person had a substantial interest, had with the political subdivision, other than payment of any tax, fee or penalty due to the political subdivision or transactions involving payment for providing utility service to the political subdivision, and other than transfers for no consideration to the political subdivision.
- c. The chief administrative officer and the chief purchasing officer also shall disclose by May 1, for the previous calendar year the following information:

1. The name and address of each of the employers of such person from whom income of one thousand dollars or more was received during the year covered by the statement;
2. The name and address of each sole proprietorship that he owned; the name, address and the general nature of the business conducted of each general partnership and joint venture in which he was a partner or participant; the name and address of each partner or for each partnership of joint venture unless such names and addresses are filed by the partnership or joint venture with the Secretary of State; the name, address and general nature of the business conducted of any closely held corporation or limited partnership in which the person owned ten percent or more of any class of the outstanding stock or limited partnership units; and the name of any publicly traded corporation or limited partnership that is listed on a regulated stock exchange or automated quotation system in which the person owned two percent or more of any class of outstanding stock, limited partnership units or other equity interests;
3. The name and address of each corporation for which such person served in the capacity of a director, officer or receiver.

Section 4: Filing of Reports. The reports, in the attached format, shall be filed with the city clerk and with the Missouri Ethics Commission. The reports shall be available for public inspection and copying during normal business hours.

Section 5: When Filed. The financial interest statements shall be filed at the following times, but no person is required to file more than one financial interest statement in any calendar year.

- a. Each person appointed to office shall file the statement within thirty days of such appointment or employment;
- b. Every other person required to file a financial interest statement shall file the statement annually not later than May 1, and the statement shall cover the calendar year ending the immediately preceding December 31; provided that any member of the city council may supplement the financial interest statement to report additional interests acquired after December 31, of the covered year until the date of filing of the financial interest statement.

Section 6: Filing of Ordinance. The City Clerk shall send a certified copy of this ordinance to the Missouri Ethics Commission within ten days of its adoption.

Section 7: Ordinance Number 223-2005 is repealed.

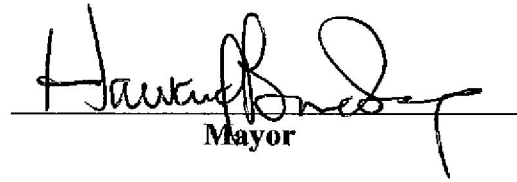
Section 8: Effective Date. This ordinance shall be in full force and effect from and after the date of its passage and approval and shall remain in effect until amended or repealed by the city council.

APPROVED after final passage this 4th day of September, 2007.

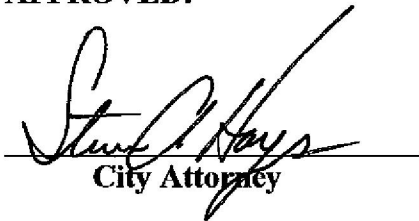
ATTEST:

CITY OF NEOSHO, CITY COUNCIL


City Clerk


Mayor

APPROVED:


City Attorney



MISSOURI ETHICS COMMISSION
**FINANCIAL DISCLOSURE STATEMENT
FOR POLITICAL SUBDIVISIONS**

1. TIME PERIOD COVERED BY THIS
STATEMENT

FROM _____

THROUGH _____

2. TYPE OF STATEMENT

☐ NEW

☐ AMENDED

3. NAME

ADDRESS

4. SPOUSE'S NAME

5. DEPENDENT CHILDREN

6. POLITICAL SUBDIVISION

7. TITLE

(NOTE: The following information is required from each elected official, chief administrative officer, chief purchasing officer, full-time general counsel and officials or employees authorized by the governing body of the political subdivision to promulgate or vote on rules and regulations.)

8. List transactions you, your spouse, children or parents had with the political subdivision listed in item 6 (other than compensation received as an employee, for payment of taxes, fees or penalties, or transfers for no consideration) valued at more than \$500.

DATE	PARTIES TO THE TRANSACTION

9. List transactions which any business entity in which you, your spouse or children, hold a substantial interest (ownership of 10% of the business entity, or interest valued at \$10,000 or more, or from which a salary, gratuity or other compensation of \$5,000 or more is paid per calendar year) had with the political subdivision listed in item 6 valued at more than \$500. Do not include payment of taxes, fees or penalties due to the political subdivision or transactions involving payment for providing utility service to the political subdivision, or transfers for no consideration.

DATE	PARTIES TO THE TRANSACTION

FILING INFORMATION

This form is to be filed with the Missouri Ethics Commission and with the governing body of the political subdivision listed in item 6 above. The clerk of such governing body shall maintain such disclosure reports available for public inspection and copying during normal business hours.

If additional space is needed, attach additional pages.

Missouri Ethics Commission
P.O. Box 1370
Jefferson City, MO 65102

10. COMPLETE AND SIGN THIS SECTION

CHECK ONE:

☐ I certify that I have disclosed all interests concerning the required financial information.

OR

☐ I certify that I have disclosed all interests concerning the required financial information and further certify that my spouse has refused or failed to provide information concerning his or her financial interests and that I have no knowledge of such interests.

FILER'S SIGNATURE